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Sina N. Shishima

Commissioner for Patents Washington, DC 20231

RE:

SN 10/021,753 "METHODS AND COMPOSITIONS RELATING TO FORTILIN, AN ANTI-APOPTOTIC MOLECULE, AND MODULATORS OF FORTILIN" - Ken Fujise and Edward T.H. Yeh

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references (C1-C15).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10110495/GNS.

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Respectfully submitted,

Gina N. Shishima Reg. No. 45,104

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Encl: as noted

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ken Fujise Edward T.H. Yeh

Serial No.: 10/021,753

Filed: October 30, 2001

For: METHODS AND COMPOSITIONS RELATING TO FORTILIN, AN ANTI-APOPTOTIC MOLECULE, AND MODULATORS OF FORTILIN

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Group Art Unit: 1632

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Examiner: Unknown

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Atty. Dkt. No.: UTSH:251US/GNS

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Gina N. Shishima

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits, and hence is believed to be timely filed

in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R.

§§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the

Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit

Account No.: 50-1212/10110495/GNS.

Applicants respectfully request that the listed documents be made of record in the present

case.

Respectfully submitted,

Gina N. Shishima

Reg. No. 45,104

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

April 15, 2002

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Form PTO-1449 (modified)		Atty. Docket No. UTSH:251US/GNS	Serial No. 10/021,753	
List of Patents and Publications f r Applicant's FORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant Ken Fujise Edward T.H. Yeh	· ·	RECEIVED
		Filing Date: October 30, 2001	Group: 1632	A:'R 2 6 2002
U.S. Patent Documents	Foreign I	Patent D_cuments	Othe £	CH CENTER 1600/2900

U.S. Patent Documents

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
			Foreign F	Patent Docu	ments		
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Ref. Init. Des.		Citation			
C1	Baudet et al., "Differentially expressed genes in C6.9 glioma cells during vitamin D-induced cell death program," Cell Death Differ, 5(1):116-125, 1998.				
	C2	Chitpatima et al., "Nucleotide sequence of a major messenger RNA for a 21 kilodalton polypeptide that is under translational control in mouse tumor cells," <i>Nucleic Acids Res.</i> , 16:2350, 1988.			
	C3	Chung et al., "Expression of translationally controlled tumor protein mRNA in human colon cancer," Cancer Lett., 156:185-190, 2000.			
	C4	Fujise et al., "Regulation of apoptosis and cell cycle progression by MCL1," J Biol Chem, 275:39458-39465, 2000.			
	C5	GenBank Accession Number X16064			
16.07	C6	Gross et al., "cDNA sequence coding for a translationally controlled human tumor protein," Nucleic Acids Res., 17:8367, 1989.			
	C7	Haghighat and Ruben, "Purification of novel calcium binding proteins form Trypanosoma brucei: properties of 22-, 24- and 38-kilodalton proteins," <i>Mol and Biochem Parasitology</i> , 51:99-110, 1992.			
	C8	Li et al., "Characterization of fortilin, a novel antiapoptotic protein," J. Biol. Chem., 276:47542-47549, 2001.			

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Examiner:	DATE CONSIDERED:

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Form PTO-1449 (modified)		Atty. Docket No. UTSH:251US/GNS	Serial No. 10/021,753	
List of Patents and Publications for	Applicant's	Applicant Ken Fujise		RECEIVED
INFORMATION DISCLOSURE S	TATEMENT	Edward T.H. Yeh		APR 2 6 2002
(Use several sheets if necessa	ry)	Filing Date: October 30, 2001	Group: 1632	TECH CENTER 1600/290
U.S. Patent Documents	Foreign	Patent Documents	Other	Art 1000/290

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

See Page 1

Exam. Init.	Ref. Des.	Citation
	C9	MacDonald et al., "Chromosomal localization of tumor protein, translationally-controlled 1 (TPT1) encoding the human histamine releasing factor (HRF) to 13q12—q14," Cytogenet Cell Genet, 84:128-129, 1999.
	C10	MacDonald et al., "Molecular identification of an IgE-dependent histamine-releasing factor," Science, 269:688-690, 1955.
	Cll	Moulding et al., "Mcl-1 expression in human neutrophils: regulation by cytokines and correlation with cell survival," Blood 92(7), 2495-2502, 1998.
	C12	Sturzenbaum et al., "Identification of heavy metal induced changes in the expression patterns of the translationally controlled tumour protein (TCTP) in the earthworm Lumbricus rubellus," Biochimica et Biophysica Acta, 1398:294-304, 1998.
	C13	Teshima et al., "Macrophage colony-stimulating factor stimulates synthesis and secretion of a mouse homolog of a human IgE-dependent histamine-releasing factor by macrophages in Vitro and In Vivo," J. Immunology, 161:6356-6366, 1998.
	C14	Thiele et al., "Expression of the gene and processed pseudogenes encoding the human and rabbit translationally controlled tumour protein (TCTP)," Eur. J. Biochem., 267:5473-5481, 2000.
	C15	Thiele et al., "Structure of the promoter and complete sequence of the gene coding for the rabbit translationally controlled tumor protein (TCTP) P23," Eur. J. Biochem., 257:62-68, 1998.

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